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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): H. Harris, Gordon R. Clark and Stephen D. Holland

Serial No.: 09/990,739

Filing Date: November 16, 2001

Group Art Unit: 2835

Title: UNIVERSAL SERIAL BUS (USB) INTERFACE FOR MASS STORAGE DEVICE

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ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97 (c) together with either a:  
 Statement under 37 CFR 1.97 (e), or  
 a \$180 fee under 37 CFR 1.17 (p), or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)

under 37 CFR 1.97 (d) together with:  
 Statement under 37 CFR 1.97 (e), or  
 a \$180.00 fee set forth in 37 CFR 1.17 (p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

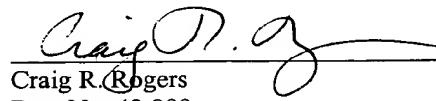


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PATENT TRADEMARK OFFICE

Respectfully submitted,

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ON January 9, 2003  
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**PATENT APPLICATION**

Atty. Docket No. 5087-27 Serial No. 09/990,739  
Applicant: David H. Harris, Gordon R. Clark and Stephen D. Holland  
Filing Date: November 16, 2001 Group: 2835

**INFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)**

**U.S. PATENT DOCUMENTS**

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- Class</u>

**FOREIGN PATENT DOCUMENTS**

<u>Document Number</u>	<u>Publication Date</u>	<u>Country</u>	<u>Name</u>

**OTHER DOCUMENTS**

<u>Exam Init</u>	<u>Ref</u>	<u>(Including Author, Title, Date, Pertinent Pages, etc.)</u>
		NEC, NEC USB2.0-ATA/ATAPI Bridge, NEC Press Release October 5, 2000, 2 pages.
		NEC, USB2.0-Compliant IDE Bridge, November 7, 2002, 1 page.

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_